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# MANDEL & ADRIANC

# INTELLECTUAL PROPERTY ATTORNEYS

35 N. ARROYO PARKWAY, SUITE 60 PASADENA, CALIFORNIA 91103 PHONE 626/395-7801 FAX 626/395-0694

# **FACSIMILE TRANSMISSION**

To: Examiner John Gillon Title: Primary Examiner

Institution: United States Patent and Trademark Office

Group Art Unit:

U.S. Serial No.: 09/038,261 Facsimile No.: 703/308-6916

Total No. of pages: 7

From: Sarah B. Adriano
Date: August 28, 2000
Dkt. No.: 30435.54USU1

#### **COMMENT:**

I attach a copy of the Combined Declaration and Power of Attorney filed with the Patent Office for the above-identified U.S. Serial Number. We will forward a copy of the grant of the Petition for Conversion to Provisional Application for U.S. Serial No. 08/814,279 as soon as we find it.

This facsimile message is confidential and may contain attorney privileged information intended only for the use of the individual or company named above. If the reader is not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please immediately notify us by telephone, so that we may arrange for the return of the original message to us. If you do not receive all of the pages, please contact us at any of the numbers provided above.

#### MANDEL & ADRIANO

#### **United States Patent Application**

#### COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the of are named below) of the subject m STEM CELL ANTIGEN	original, first and sole invento latter which is claimed and fo	or (if only one name is listed below to which a patent is sought on the	w) or a joint inven invention entitled	br (if plural inventors PSCA: PROSTATE
The specification of which a. is attached hereto b. was filed on March 10, 199 filed application) described and cl and for which I solicit a United St	aimed in international no.	038,261 and was amended on filed and as amended		(in the case of a PCT- which I have reviewed
I hereby state that I have reviewed any amendment referred to above.		of the above-identified specificat	ion, including the	claims, as amended by
l acknowledge the duty to disclose of Federal Regulations, § 1.56 (an		al to the patentability of this appl	ication in accordar	ice with Title 37, Code
I hereby claim foreign priority ber certificate listed below and have a that of the application on the basis a.   no such applications have been such applications have been	lso identified below any foreign of which priority is claimed: seen filed.	gn application for patent or inven	tor's certificate har FAX RE  AUG 2	ving a filing date before CEIVED 8 2000
POR	EIGN APPLICATION(S), IF ANY	CLAIMING PRIORITY UNDER 35 (		OFFICE
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ALL FOR	EIGN APPLICATION(S), IF ANY,	filed before the priority ap	PLICATION(S)	
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISS (day, month, y	i -
I hereby claim the benefit under T	itle 35, United States Code, §	120/365 of any United States an	d PCT internation	l application(s) listed

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

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	(-/,)	STATUS (patented, pending, abandoned)
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I hereby claim the benefit under Title 35. ... ied States Code § 119(e) of any United States \_\_visional application(s) tisted below

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)	
08/814.279	March 10, 1997	
60/071,141	January 12, 1998	
60/074,675	February 13, 1998	

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Sarah B. Adriano

Reg. No. 34,470

SaraLynn Mandel

Reg. No. 31.853

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/authorize/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consequed after full disclosure to be represented unless/until I instruct Mandel & Adriano to the contrary.

Please direct all correspondence in this case to Mandel & Adriano at the address indicated below:

4UG 2 8 2000

PETITIONS OFFICE

Mandel & Adriano 725 Main Street Half Moon Bay, CA 94019

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

	ature of Inventor 2	03.	Date	
	Post Office Address	Past Office Address 14727 Sutton Drive	City Shorman Oaks	State & Zip Code/Country California 91403/USA
	& Citizenship	City Sharman Oaks	State or Foreign Country California	Country of Citizenship USA
	Full Name Of Inventor	Family Name Wine	First Given Name Owen	Second Given Name N.
igi	nature of Inventor 2		Dat	
	Post Office Address	Post Office Address 10511 Kunnerd Avenue	City Los Angeles	State & Zip Code/Country California 90024-6017 USA
	& Citizenship	Los Angeles	State or Foreign Country California	Country of Citizenship USA
? 1	Full Name Of Inventor Residence	Family Name Reiter City	First Civen Name Robert	Second Given Name E.

# § 1.56 Duty to disclose information material to patentability.

A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability

of a claim that is canceled or withdrawn from consideration need not be submitted if the intomation is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application:
  - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

#### MANDEL & ADRIANO

#### **United States Patent Application**

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The specification of whice a. is attached hereto b. was filed on March filed application) describe and for which I solicit a l	n 10, 1998 as application serial no. 09/ ed and claimed in international no.	/038,261 and was amended on filed and as amend	(if applicable ed on (if any)	(in the case of a PCT which I have reviewe
I hereby state that I have any amendment referred	reviewed and understand the contents to above.	of the above-identified specific	cation, including the	claims, as amended by
I acknowledge the duty to of Federal Regulations, §	disclose information which is material 1.56 (attached hereto).	al to the patentability of this ap	oplication in accordan	nce with Title 37, Code
that of the application on	ority benefits under Title 35, United S d have also identified below any foreig the basis of which priority is claimed:	gn application for patent or inv	oreign application(s) ventor's certificate ha	for patent or inventor ving a filing date befor
a. no such applications b. such applications b	ns have been filed. have been filed as follows:			
	FOREIGN APPLICATION(S), IF ANY,	CLAIMING PRIORITY UNDER 3	5 USC \$ 119	
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISS (day, month, y	1
	all foreign application(5), if any,	FILED BEFORK THE PRIORITY	APPLICATION(S)	
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISS (day, month, y	
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U.S. APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (peterted, pending, abandoned)
i		

I hereby claim the benefit under Title 35, united States Code § 119(e) of any United States provisional application(s) listed below:

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!	Full Name Of Inventor	Family Name Reiter	First Given Name Rober	Second Civen Name E.
0	Residence & Citizenship	City Los Angeles	State or Foreign Country California	Country of Citizenship USA
1	Post Office Address	Post Office Address 10511 Kinnard Avenue	City Los Angeles	State & 2ip Code/Country California 20024-6017/LiSA
2) Eu	nture of Inventor 2	01:	Date:	
2	Full Name Of Inventor	Panily Name Witte	First Given Name Owen	Second Given Name N.
n	Residence & Cittzenship	City Sherman Oaka	State or Foreign Country California	Country of Citizenship USA
2	Post Office Address	Post Office Address 14727 Sutton Drive	City Sherman Oaks	State & Zip Code/Country California \$1403/4/5/4
_	ture of Inventor 2			

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